

QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS
As at the Quarter Ending September 30, 2023

Department : Department of Finance (DOF)
 Agency/Entity : Securities and Exchange Commission
 Operating Unit : < not applicable >
 Organization Code (UACS) : 11 011 000000
 Fund Cluster : 01 - Regular Agency Fund

Classification/Sources of Revenue and Other Receipts	UACS CODE	REVENUE TARGET (Annual) per BESF	Actual Revenue and Other Receipts Collections					Cumulative Remittance/Deposits to Date			Variance		Remarks
			1st Quarter Ending March 31	2nd Quarter Ending June 30	3rd Quarter Ending September 30	4th Quarter Ending December 31	Total	Remittance to BTr	Deposited with AGDB	Total	Amount	%	
			4	5	6	7	8=(4+5+6+7)	9	10	11=(9+10)	12=(8-3)	13=(12/3)	
Regular Agency Fund		0.00	88,557,062.06	42,268,226.97	122,348,704.68	0.00	253,173,993.71	235,327,061.09	18,009,604.71	253,336,665.80	253,173,993.71	0 %	
Revenue Collections		0.00	83,421,520.33	28,574,209.23	119,300,495.63	0.00	231,296,225.19	231,335,447.79	0.00	231,335,447.79	231,296,225.19	0 %	
Cash Revenue		0.00	83,421,520.33	28,574,209.23	119,300,495.63	0.00	231,296,225.19	231,335,447.79	0.00	231,335,447.79	231,296,225.19	0 %	
Non-Tax		0.00	83,421,520.33	28,574,209.23	119,300,495.63	0.00	231,296,225.19	231,335,447.79	0.00	231,335,447.79	231,296,225.19	0 %	
Registration Fees	4020102000	0.00	33,068,568.23	10,865,165.10	5,628,650.00	0.00	49,562,373.33	49,562,373.33	0.00	49,562,373.33	49,562,373.33	0 %	
Licensing Fees	4020106000	0.00	2,261,826.10	1,632,593.77	2,016,759.15	0.00	5,911,179.02	5,911,460.27	0.00	5,911,460.27	5,911,179.02	0 %	
Fines and Penalties - Service Income	4020114000	0.00	34,864,104.25	1,944,752.54	2,334,884.67	0.00	39,143,741.46	39,236,780.31	0.00	39,236,780.31	39,143,741.46	0 %	
Other Service Income	4020190999	0.00	13,227,021.75	14,131,707.82	109,320,201.81	0.00	136,678,931.38	136,624,833.88	0.00	136,624,833.88	136,678,931.38	0 %	
Non-Revenue Collections/Other Receipts		0.00	5,135,541.73	13,694,017.74	3,048,209.05	0.00	21,877,768.52	3,991,613.30	18,009,604.71	22,001,218.01	21,877,768.52	0 %	
Cash Receipts		0.00	5,135,541.73	13,694,017.74	3,048,209.05	0.00	21,877,768.52	3,991,613.30	18,009,604.71	22,001,218.01	21,877,768.52	0 %	
Others		0.00	5,135,541.73	13,694,017.74	3,048,209.05	0.00	21,877,768.52	3,991,613.30	18,009,604.71	22,001,218.01	21,877,768.52	0 %	
Petty Cash	1010102000	0.00	19.75	0.00	0.00	0.00	19.75	0.00	0.00	19.75	19.75	0 %	
Due from Officers and Employees	1030502000	0.00	32,003.35	0.00	37,504.72	0.00	69,508.07	0.00	69,740.20	69,740.20	69,508.07	0 %	
Other Receivables	1030599000	0.00	1,071,382.60	13,596,828.70	2,069,233.80	0.00	16,737,445.10	0.00	16,737,445.10	16,737,445.10	16,737,445.10	0 %	
Advances for Special Disbursing Officer	1990103000	0.00	34,892.73	69,197.54	936,769.61	0.00	1,040,859.88	0.00	1,164,077.24	1,164,077.24	1,040,859.88	0 %	
Advances to Officers and Employees	1990104000	0.00	5,830.00	27,991.50	3,700.92	0.00	37,322.42	0.00	37,322.42	37,322.42	37,322.42	0 %	
Due to Officers and Employees	2010102000	0.00	0.00	0.00	1,000.00	0.00	1,000.00	0.00	1,000.00	1,000.00	1,000.00	0 %	
Government Equity	3010101000	0.00	3,991,613.30	0.00	0.00	0.00	3,991,613.30	3,991,613.30	0.00	3,991,613.30	3,991,613.30	0 %	
GRAND TOTAL		0.00	88,557,062.06	42,268,226.97	122,348,704.68	0.00	253,173,993.71	235,327,061.09	18,009,604.71	253,336,665.80	253,173,993.71	0 %	

Certified Correct:
MA ALISA A. JUMANGIT
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 Assistant Director, Accounting Division
 Date:

Recommended Approval By:
DONDIE O. ERGUERRA
 DONDIE O. ERGUERRA
 Director, Financial Management Department
 Date:

Approved By:
E. M. B. AGUIÑO
 E. M. B. AGUIÑO
 Chairperson
 Date:

QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS
As at the Quarter Ending September 30, 2023

Department : Department of Finance (DOF)
 Agency/Entity : Securities and Exchange Commission
 Operating Unit : < not applicable >
 Organization Code (UACS) : 11 011 0000000
 Fund Cluster : 05 - Internally Generated Funds

Classification/Sources of Revenue and Other Receipts	UACS CODE	REVENUE TARGET (Annual) per BESF	Actual Revenue and Other Receipts Collections				Cumulative Remittance/Deposits to Date			Variance		Remarks	
			1st Quarter Ending March 31	2nd Quarter Ending June 30	3rd Quarter Ending September 30	4th Quarter Ending December 31	Total	Remittance to BTr	Deposited with AGDB	Total	Amount		%
			4	5	6	7	8=(4+5+6+7)	9	10	11=(9+10)	12=(8-3)		13=(12/3)
Internally Generated Funds		3,272,852,335.69	741,317,552.49	895,317,530.81	836,436,202.47	0.00	2,473,071,285.77	0.00	2,457,722,208.58	2,457,722,208.58	(799,781,049.92)	-24 %	
Revenue Collections		3,272,852,335.69	741,317,552.49	895,317,530.81	836,436,202.47	0.00	2,473,071,285.77	0.00	2,457,722,208.58	2,457,722,208.58	(799,781,049.92)	-24 %	
Cash Revenue		3,272,852,335.69	741,317,552.49	895,317,530.81	836,436,202.47	0.00	2,473,071,285.77	0.00	2,457,722,208.58	2,457,722,208.58	(799,781,049.92)	-24 %	
Non-Tax		3,272,852,335.69	741,317,552.49	895,317,530.81	836,436,202.47	0.00	2,473,071,285.77	0.00	2,457,722,208.58	2,457,722,208.58	(799,781,049.92)	-24 %	
Registration Fees	4020102000	2,802,680,587.95	576,485,769.10	584,786,553.11	588,436,867.68	0.00	1,749,711,189.89	0.00	1,761,508,666.37	1,761,508,666.37	(1,152,969,398.07)	-40 %	
Licensing Fees	4020106000	32,555,833.37	3,549,603.38	1,848,913.07	2,292,720.98	0.00	7,691,237.41	0.00	7,691,737.41	7,691,737.41	(24,864,595.96)	-76 %	
Fines and Penalties - Service Income	4020114000	150,365,946.25	40,957,771.93	193,280,157.44	171,867,286.56	0.00	406,105,215.93	0.00	379,915,037.26	379,915,037.26	255,739,269.68	170 %	
Other Service Income	4020199099	187,249,988.11	118,552,914.06	19,988,272.79	59,214,147.27	0.00	197,755,334.12	0.00	196,798,459.12	196,798,459.12	10,505,366.01	6 %	
Seminar/Training Fees	4020204000	0.00	0.00	0.00	5,500.00	0.00	5,500.00	0.00	5,500.00	5,500.00	5,500.00	0 %	
Rent/Lease Income	4020205000	0.00	1,771,494.02	95,413,634.40	14,817,680.00	0.00	111,802,808.42	0.00	111,802,808.42	111,802,808.42	111,802,808.42	0 %	
GRAND TOTAL		3,272,852,335.69	741,317,552.49	895,317,530.81	836,436,202.47	0.00	2,473,071,285.77	0.00	2,457,722,208.58	2,457,722,208.58	(799,781,049.92)	-24 %	

Certified Correct:
MA ALISA A. JUMANGIT
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 Assistant Director, Accounting Division
 Date:

Recommending Approval By:

DONDE O. B. GUERRA
 Director, Financial Management Department
 Date:

Approved By:

EMILIO B. AGUIRRE
 Chairperson
 Date:

QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS
As at the Quarter Ending September 30, 2023

Department : Department of Finance (DOF)
 Agency/Entity : Securities and Exchange Commission
 Operating Unit : < not applicable >
 Organization Code (UACS) : 11 011 000000
 Fund Cluster : 07 - Trust Receipts

Classification/Sources of Revenue and Other Receipts	UACS CODE	REVENUE TARGET (Annual) per BESF	Actual Revenue and Other Receipts Collections					Cumulative Remittance/Deposits to Date			Variance		Remarks
			1st Quarter Ending March 31	2nd Quarter Ending June 30	3rd Quarter Ending September 30	4th Quarter Ending December 31	Total	Remittance to BTr	Deposited with AGDB	Total	Amount	%	
1	2	3	4	5	6	7	8=(4+5+6+7)	9	10	11=(9+10)	12=(8-3)	13=(12/3)	14
Trust Receipts		0.00	19,321,968.22	8,789,572.87	9,047,955.84	0.00	37,159,496.93	31,047,504.19	6,214,640.00	37,262,144.19	37,159,496.93	0 %	
Inter-Agency Transferred Funds (IATF) Deposited with the National Treasury		0.00	18,996,364.22	8,130,032.87	8,143,415.84	0.00	35,269,812.93	29,166,320.19	6,214,640.00	35,380,960.19	35,269,812.93	0 %	
Legal Research Fee - University of the Philippines		0.00	6,314,037.05	5,102,992.87	6,191,955.84	0.00	18,608,985.76	18,727,273.02	0.00	18,727,273.02	18,608,985.76	0 %	
Documentary Stamp Tax		0.00	2,243,700.00	2,027,040.00	1,951,460.00	0.00	6,222,200.00	420.00	6,214,640.00	6,215,060.00	6,222,200.00	0 %	
Due from Other Funds		0.00	10,438,627.17	0.00	0.00	0.00	10,438,627.17	10,438,627.17	0.00	10,438,627.17	10,438,627.17	0 %	
Other Trust Receipts Deposited with the National Treasury		0.00	325,604.00	659,540.00	904,540.00	0.00	1,889,684.00	1,881,184.00	0.00	1,881,184.00	1,889,684.00	0 %	
Seminar/Training Fees		0.00	325,604.00	659,540.00	904,540.00	0.00	1,889,684.00	1,881,184.00	0.00	1,881,184.00	1,889,684.00	0 %	
GRAND TOTAL		0.00	19,321,968.22	8,789,572.87	9,047,955.84	0.00	37,159,496.93	31,047,504.19	6,214,640.00	37,262,144.19	37,159,496.93	0 %	

Certified Correct:

MA. ALISA A. JUMANGIT
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 Assistant Director, Accounting Division
 Date:

Recommending Approval By:

DONDIE O. ESQUERRA
 DONDIE O. ESQUERRA
 Director, Financial Management Department
 Date:

Approved By:

EMILIO B. ALONSO
 EMILIO B. ALONSO
 Chairperson
 Date: